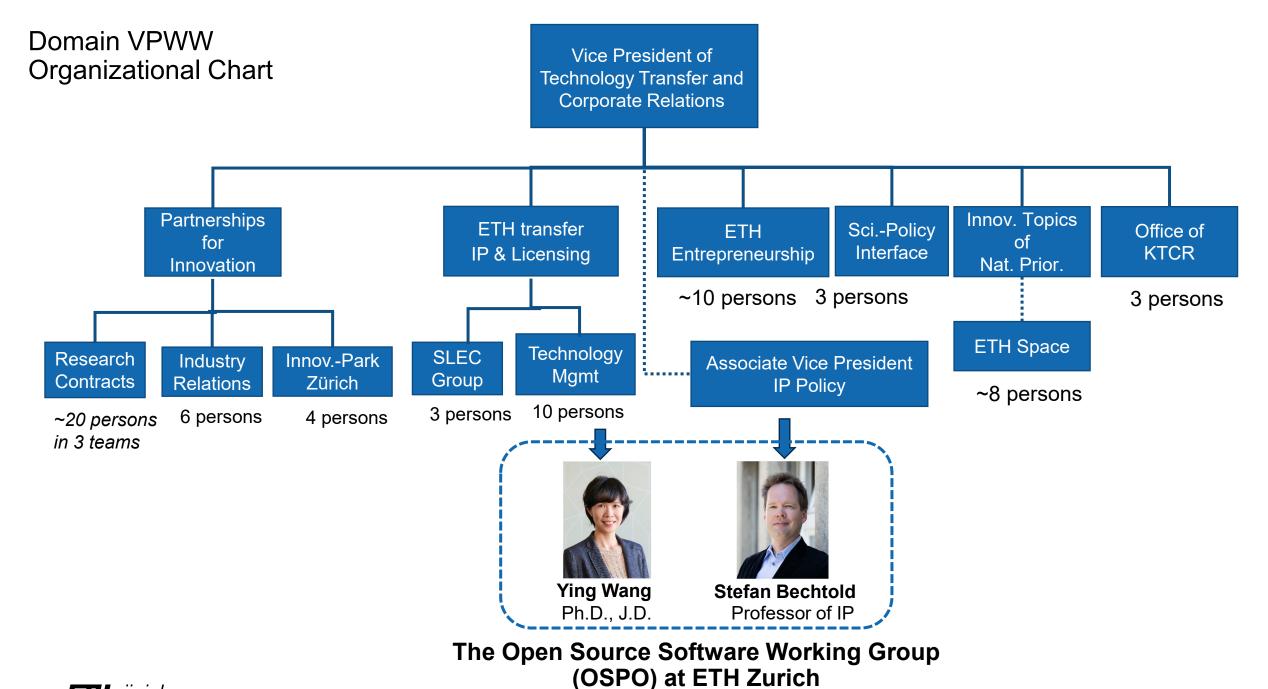


ETH Zurich at a glance



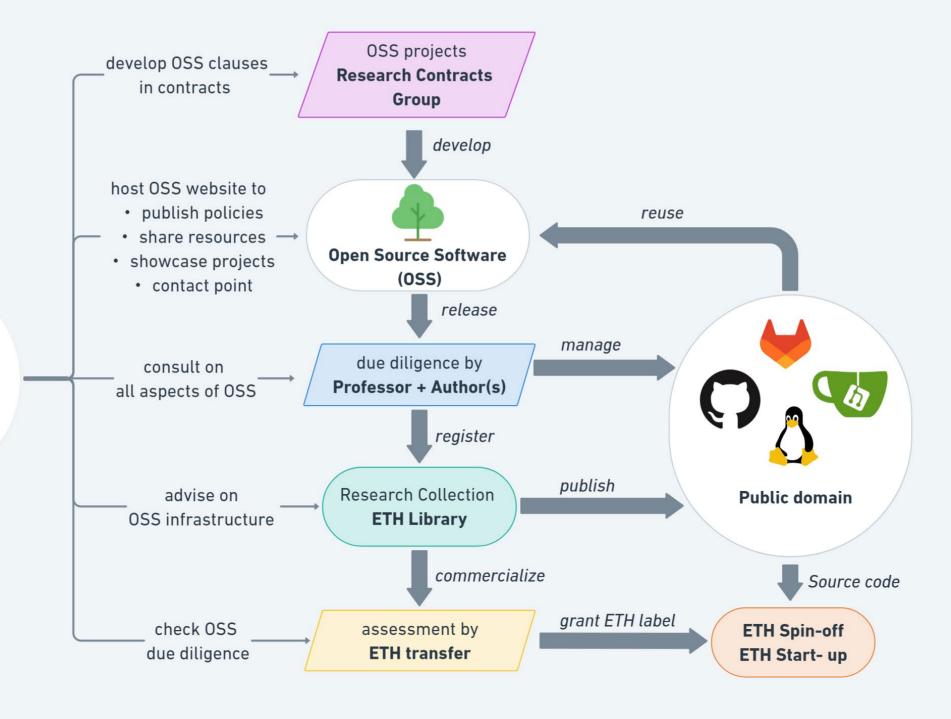


Vice President for Knowledge Transfer & Corporate Relations (VPWW)

advise on OSS policy making

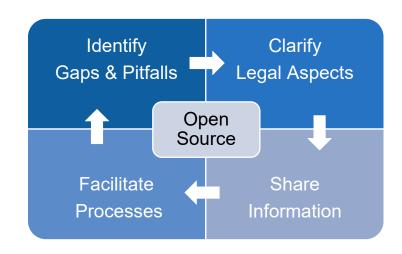
ETH Zurich Open Source Working Group

- policy & best practice
 - · case consultation
 - education
 - templates
 - CLA
 - · OSS catalog



Revise the OSS policy in the ETH IP Guidelines

- Surveyed researchers and university partners
- > understand how OSS is handled and to identify pitfalls and gaps in the current practice.
- clarified legal issues behind the needs and challenges people faced
- formed the foundation for policy revision
- shared information of updated policy and best practice and other recourses
- implemented the new police to facilitate OSS processes and seek feedback





Clarify legal aspects: Authors vs. Owners

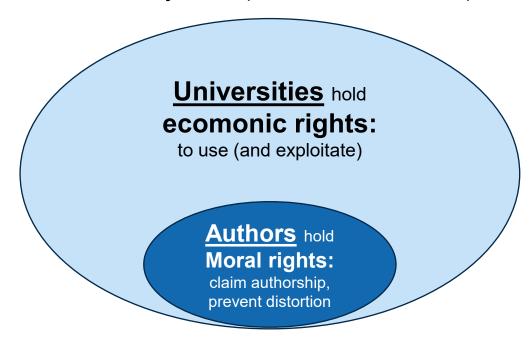
the US system

Universities hold the copyright

to reproduce, distribute, publish, modify..
the work created

Universities are <u>copyright owners</u>

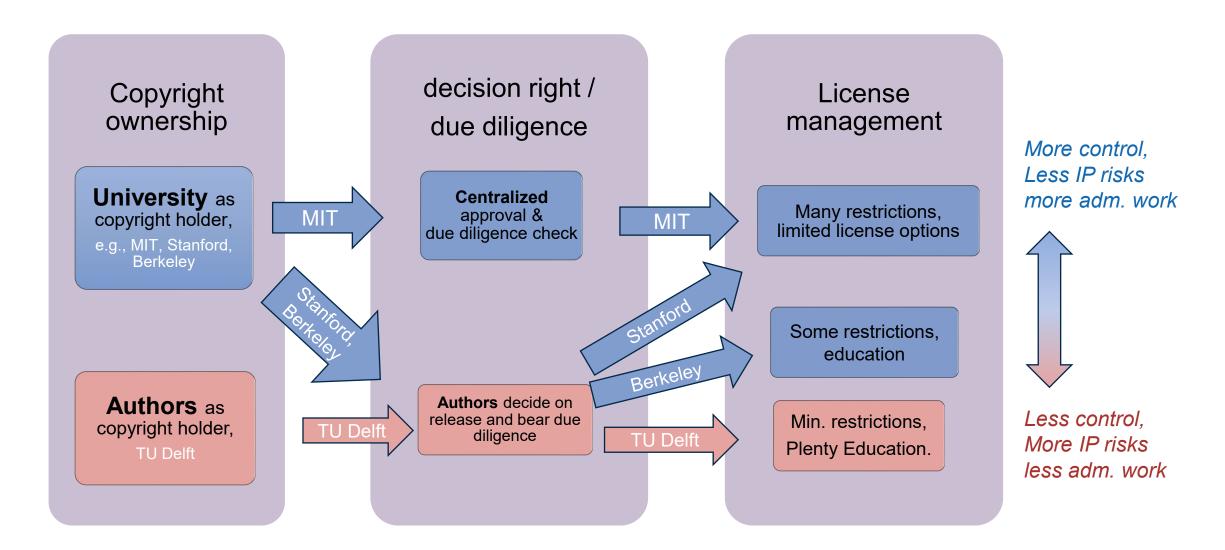
the Swiss system (Art. 36 ETH Act; EG)



> ETH is the holder of exclusive use rights



Clarify legal aspects: Authors vs. Owners





Clarify legal aspects: OSS Policy at ETH Zurich

- m Owner/Holder (for software created within the employment scope at ETH Zurich,)
 - > ETH Zurich is regarded as effective 'owner/holder' of the software copyright
 - Follows international/OSS community norms
 - Facilitate further development of the software when authors leave ETH Zurich
 - Reserve flexibility for commercialization
 - > ETH Zurich authorize its researchers to distribute the software they created as OSS, provided the conditions (for risk control) are met.
- Author (Coder/developer employeed at ETH Zurich)
 - > Holds **moral rights** (e.g., right to attribution)
 - Decide whether to distribute software they created as OSS
 - freedom of research and publication
 - o align with project goal and commercialization plans
 - > Be responsible that the conditions (software due diligence) are met.



Facilitate process:

OSS distribution checklist

- 1. Obtain approval by the responsible Research Group Leader
- 2. Assign copyrights of non-employee authors to ETH Zurich
- 3. Clear third-party rights related to the software
- 4. Ensure compliance with licenses/restrictions of background codes/data
- 5. Select a suitable <u>open source license recognized by the Open Source</u>

 <u>Initiative</u> for your OSS to be distributed
- 6. Comply with Export Control requirements
- 7. Include a proper copyright notice and a copy of the selected OSS license
- 8. Publish your OSS on publicly accessible platform(s)

Checklist for Open Source Software (OSS) distribution at ETH Zurich Are there non-employed authors (e.g., BS, MSc from others (3rd-party) compatible with the license Are you hosting a OSS Made with Whimsica



Share information:

OSS License Management

♦ OSS license risk chart

Open Source Software (OSS) at ETH Zürich: licenses for internal use and external distribution of original or modified OSS

		Internal use		External distribution			Express grant of
	OSS licenses 1	original or bug-fixed	modified	original or bug-fixed	modified	linked as library or package	patent rights to licensees? ⁵
Permissive ²	MIT	low	low	low	low	low	No
	BSD 3-clause	low	low	low	low	low	No
	Apache 2.0 6	low	low	low	low	low	Yes ⁶
	Python	low	low	low	low	low	No
Weak copyleft ³	LGPL v2.1 7	low	low	low	high	moderate	No
	LGPL v3	low	low	low	high	moderate	Yes
	MPL v2 8	low	low	low	high	moderate	Yes
Strong copyleft ⁴	GPL v2 9	low	low	high	high	high	No
	GPL v3	low	low	high	high	high	Yes
	Affero GPL v3 10	low	low	high	high	high	Yes

This chart is modified based on the OSS license chart from University of California



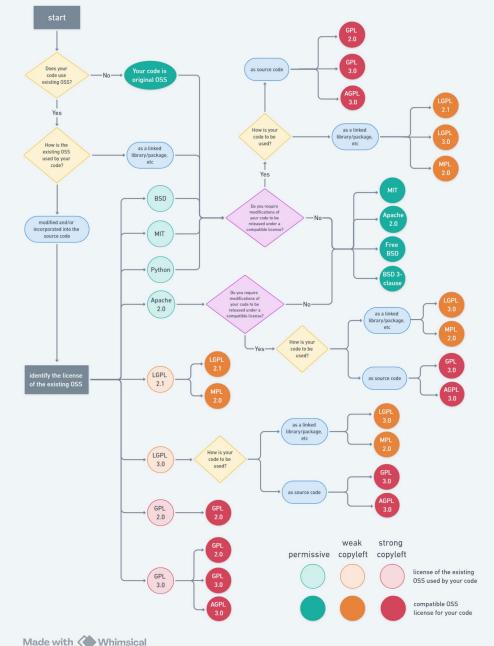
Share information:

OSS License Management

- OSS license selection flowchart
 - Starts with whether and how your project incorporates existing OSS
 - Leads you through key considerations:
 - How your OSS will be used?
 - o compiled with source code
 - linked as library
 - Do you require derivative works to adopt the same license?
 - Select from a range of compatible OSS licenses for your project.

How to select a license for your open-source software (OSS)

Version 1.1, August 27, 2024

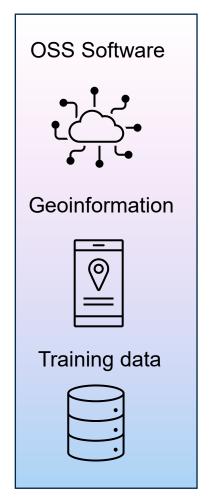




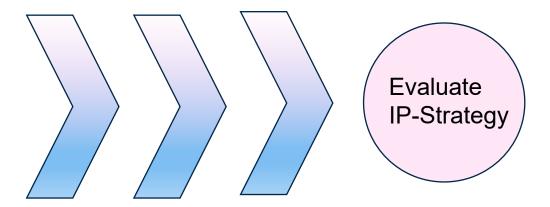
Facilitate process:

OSS in Research Contracts with Industrial Partners

Input / Background Including e.g.



Research / software development



Output / Foreground

OSS Release

Dual License (proprietary and OSS)

Open
Publication of
Research but
Software kept
propriatary

All proprietary



Facilitate process:

OSS in Research Contracts with Industrial Partners



Interested in

- Freedom of research & publication
- Academic impact
- Validation/reusability
- Collaboration opportunities
- Spirit of OSS community
- Spin-off

Research Collaboration Agreement

Input / background

- Company prefers to use permissive and patent-granting OSS.
- Univ. prefers to keep more options open and maximize participation.

Output / foreground

- University and Company jointly decide how software shall be released after the project.
- We provide flexible options to accommodate and balance interests from both sides:
 - non-exclusive/open source license
 - dual licensing, e.g. open source plus proprietary licenses
 - exclusive/proprietary licenses

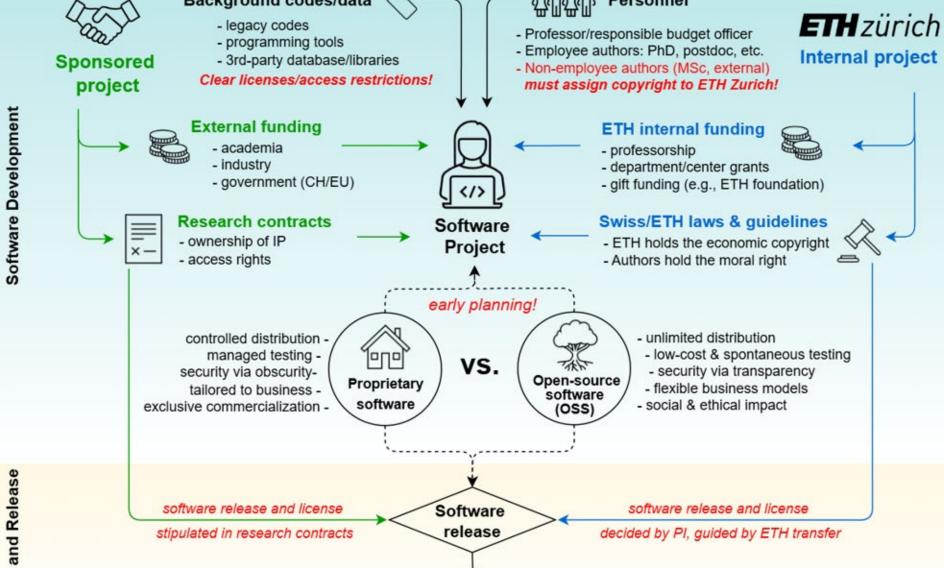


Interested in

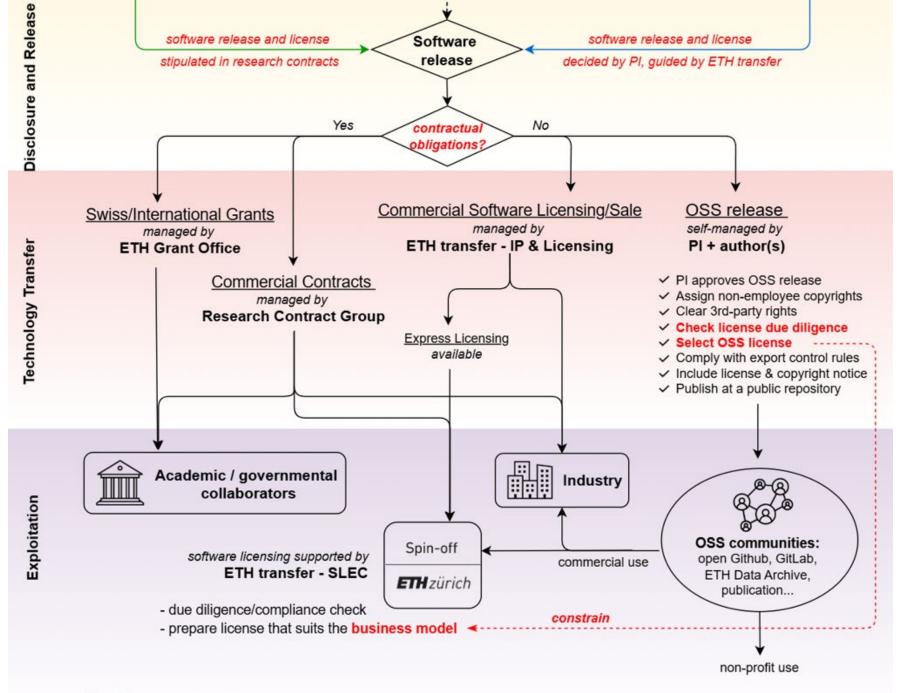
- Code quality & security
- Clear IP & licensing rights
- Legal & compliance risks
- Advantage over competitors
- Commercial interests



ETH Zurich software development and exploitation Background codes/data Personnel - legacy codes - Professor/responsible budget officer - programming tools - Employee authors: PhD, postdoc, etc. - 3rd-party database/libraries - Non-employee authors (MSc, external) Clear licenses/access restrictions! project must assign copyright to ETH Zurich! External funding ETH internal funding - academia - professorship - industry - department/center grants - government (CH/EU) - gift funding (e.g., ETH foundation)







ETH zürich

OSS policies and resources at ETH Zurich:

https://transfer.ethz.ch/researchers/oss.html

Thank you!

Ying Wang ying.wang@sl.ethz.ch

